SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ad <u>Woock Jo</u>	ddress of Reporting hn	Person*		Issuer Name <b>and</b> Axonics, Inc. [		ing Symbol	(Check	tionship of Reportir all applicable) Director Officer (give title	Issuer Dwner (specify			
(Last) 26 TECHNO	(First) DLOGY DRIVE	(Middle	e) I	. Date of Earliest Tra 8/17/2021	ansaction (Mc	nth/Day/Year)	X	below)	eting Officer			
(Street)			4.	. If Amendment, Dat	te of Original I	Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
IRVINE	CA	92618	B				X	Form filed by One	e Reporting Per	son		
(City)	(State)	(Zip)						Form filed by Mo Person	re than One Re	porting		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Ye				2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership		

	(monuneay) realy	(Month/Day/Year)	8)					Owned Following Reported	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(	(1130.4)
Common Stock	08/17/2021		S <sup>(1)</sup>		5,398	D	<b>\$66.179</b> <sup>(2)</sup>	49,534	D	
Common Stock	08/17/2021		S <sup>(1)</sup>		13,501	D	<b>\$66.8479</b> <sup>(3)</sup>	36,033	D	
Common Stock	08/17/2021		S <sup>(1)</sup>		5,901	D	<b>\$68.038</b> 7 <sup>(4)</sup>	30,132	D	
Common Stock	08/17/2021		S <sup>(1)</sup>		200	D	\$69.05	29,932	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		on of tr. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares						

Explanation of Responses:

1. This sale was pursuant to a 10b5-1 plan adopted by the reporting person on June 15, 2021.

2. This transaction was executed in multiple trades at prices ranging from \$65.49 to \$66.48. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

3. This transaction was executed in multiple trades at prices ranging from \$66.50 to \$67.44. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

4. This transaction was executed in multiple trades at prices ranging from \$67.52 to 68.435. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Remarks:

/s/ Dan Dearen, as Attorney-08/19/2021

in-Fact for John Woock, Ph.D

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.