SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>COHEN RAYMOND W</u>				2. Issuer Name and T Axonics Modu		ing Symbol chnologies, Inc. [5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
			1	AXNX]			X	Director	10% 0	Dwner		
(Last) 26 TECHNOL	(First) .OGY DRIVE	(Midd	le)	3. Date of Earliest Tra 08/07/2020	ansaction (Mc	nth/Day/Year)	X	Officer (give title below) Chief Exect	Other belowy	(specify)		
(Street) IRVINE CA 92618				4. If Amendment, Dat	te of Original	Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicabl Line) X Form filed by One Reporting Person					
(City)	(State)	(Zip)					X	Form filed by Mo Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Transaction				2A. Deemed	3.	4. Securities Acquired (A) or		5. Amount of	6. Ownership	7. Nature		

1. Title of Security (instr. 3)	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					S. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1130. 4)	(11150.4)
Common Stock	08/07/2020		S ⁽¹⁾		16,633	D	\$ 41.824 ⁽²⁾	425,425	D	
Common Stock	08/07/2020		S ⁽¹⁾		3,367	D	\$42.7455 ⁽³⁾	422,058	D	
Common Stock	08/11/2020		S ⁽¹⁾		5,159	D	\$40.9541(4)	416,899	D	
Common Stock	08/11/2020		S ⁽¹⁾		8,808	D	\$42.0534(5)	408,091	D	
Common Stock	08/11/2020		S ⁽¹⁾		11,033	D	\$42.776 ⁽⁶⁾	397,058	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 6. Date Exercisable and Expiration Date (Month/Day/Year) (A) (D) Date Exercisable Expiration Date (A) (D) Date Exercisable Expiration Date		Amount of			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v					Title	Amount or Number of Shares		

Explanation of Responses:

1. This sale was pursuant to a 10b5-1 plan adopted by the reporting person on June 7, 2020.

2. This transaction was executed in multiple trades at prices ranging from \$41.525 to \$42.28. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

3. This transaction was executed in multiple trades at prices ranging from \$42.6125 to \$42.99. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

4. This transaction was executed in multiple trades at prices ranging from \$40.41 to \$41.325. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

5. This transaction was executed in multiple trades at prices ranging from \$41.42 to \$42.415. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

6. This transaction was executed in multiple trades at prices ranging from \$42.42 to \$43.08. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected. **Remarks:**

> /s/ Dan Dearen, as Attorneyin-Fact for Raymond W.

08/11/2020

Cohen

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.