SEC Form 4

FORM 4

Check this box if no longer subject to

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially (Month/Day/Year) Form: Direct Beneficially (I) (Instr. 4) Form: Direct (D) or Indirect	
1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 6. Ownership 7. 1. Title of Security (Instr. 3) (Month/Day/Year) 1. Transaction Transaction Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of 6. Ownership 7. 1. Title of Security (Instr. 3) (Month/Day/Year) 1. Transaction Code (Instr. Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of 6. Ownership 7. 1. Title of Securities (Month/Day/Year) Transaction Code (Instr. Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially (D) or Indirect Beneficially (D) (Instr. 4) Owned Following Owned Following Owned Following Disposed Of (D) Disposed	(1150.4)
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	7. Nature of Indirect Beneficial Ownership (Instr. 4)
(City) (State) (Zip)	
IRVINE CA 92618 X Form filed by One Reporting Person	
(Street) 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applic	ble
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) See Remarks 26 TECHNOLOGY DRIVE 11/19/2019 See Remarks	
1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issue (Check all applicable) Dearen Danny L. Axonics Modulation Technologies, Inc. [AXNX] 5. Relationship of Reporting Person(s) to Issue (Check all applicable) (I arth and (Circle) Officer (give title below) Officer (give title below)	
Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	0.5

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The shares were sold in a public offering pursuant to the Registration Statement of Axonics Modulation Technologies, Inc. on Form S-3 (File No. 333-234546)

Remarks:

/s/ Michael V. Williamson, as

11/21/2019 Attorney-in-Fact for Danny L.

Date

** Signature of Reporting Person

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.